BEST PATH ADVISORS
PUTTING YOU ON THE BEST PATH

# PERSONAL PROFILE

| Personal Details | Client #1 | Client #2 |
|---|---|---|
| Name | | |
| Birthdate | | |
| Age | | |
| Home Address | | |
| City, State, Zip | | |
| Primary Residence | ☐ Yes ☐ No | ☐ Yes ☐ No |
| Home Phone | | |
| Cell Phone | | |
| Personal Email | | |
| Anniversary Date | | |
| Social Media Usage | ☐ Facebook ☐ LinkedIn ☐ Twitter | ☐ Facebook ☐ LinkedIn ☐ Twitter |
| Occupation | | |
| Work Address | | |
| Wok City, State, Zip | | |
| Work Email | | |
| Work Phone | | |
| Retirement Date | | |
| Age at Retirement | | |
| Father's Age (or age died) | | |
| Mother's Age (or age died) | | |

## Children's Names

| | M or F | Birthdate | Age |
|---|---|---|---|
| | ☐ ☐ | Birthdate | Age |
| | ☐ ☐ | Birthdate | Age |
| | ☐ ☐ | Birthdate | Age |
| | ☐ ☐ | Birthdate | Age |
| | ☐ ☐ | Birthdate | Age |
| | ☐ ☐ | Birthdate | Age |
| | ☐ ☐ | Birthdate | Age |

## Grandchildren's Names

| | M or F | Birthdate | Age |
|---|---|---|---|
| | ☐ ☐ | Birthdate | Age |
| | ☐ ☐ | Birthdate | Age |
| | ☐ ☐ | Birthdate | Age |
| | ☐ ☐ | Birthdate | Age |
| | ☐ ☐ | Birthdate | Age |
| | ☐ ☐ | Birthdate | Age |

## Your Financial Concerns / Interests (Please list things you would like to discuss)

## Financial Confidence Rating

On a scale from one to ten, rate the level of your peace-of-mind regarding your investments and finances.

| | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| **Client 1:** | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 |
| **Client 2:** | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 |

*1=Things couldn't be worse* *10=Everything is perfect*

## Current Financial Position

| Assets | Amount | Liabilities | Amount |
|---|---|---|---|
| Checking | | Credit Cards | |
| Savings | | Notes / Line of Credit | |
| CDs | | Personal Loan | |
| 401(k)/403(b) – Mr. | | Student Loan – Mr./Mrs. | |
| 401(k)/403(b) – Mrs. | | Student Loan – Children | |
| IRA – Mr. | | Car Loans | |
| IRA – Mrs. | | Home Mortgage | |
| Roth IRA – Mr. | | Second Mortgage | |
| Roth IRA – Mrs. | | Other Real Estate | |
| Defined Benefit | | Business Debt | |
| Profit Sharing | | Other | |
| Stock Options | | | |
| Brokerage Accounts | | **Income** | |
| Annuities – Mr. | | Wages – Mr. | |
| Annuities – Mrs. | | Wages – Mrs. | |
| Life Ins Cash – Mr. | | Pension – Mr. | |
| Life Ins Cash – Mrs. | | Pension – Mrs. | |
| Business Value – Mr. | | Social Security – Mr. | |
| Business Value – Mrs. | | Social Security – Mrs. | |
| Residence | | Investment Income | |
| 2nd Home (where) | | Business Income | |
| Rental Property | | | |
| Land | | **Expenses** | |
| Other | | Fixed Expenses | |
| Other | | Variable / Discretionary | |

## WHAT TO BRING

It can be very beneficial to have the following information with you during our discussion in case you need to reference them. If our first conversation goes well, you can decide to leave copies with us where they can help with our analysis.

- ☐ Statements for any that apply:
  - ☐ Brokerage accounts
  - ☐ 401(k) / 403(b)
  - ☐ Pensions / Defined Benefit / Profit Sharing
  - ☐ IRA
  - ☐ Roth IRA
  - ☐ Mutual Funds
  - ☐ Stocks
  - ☐ Stock Options

- ☐ Social Security Statements (if not already receiving benefits)
  - o You can create an account at ssa.gov to download your current statement

- ☐ Estate Planning Documents
  - ☐ Wills
  - ☐ Trusts
  - ☐ Power of Attorney for Financial
  - ☐ Power of Attorney for Medical

- ☐ Insurance
  - ☐ Life Insurance
  - ☐ Long-Term Care Insurance
  - ☐ Disability Insurance

- ☐ Business
  - ☐ Agreements
  - ☐ Valuations